

# RELATIVELY CONVEX SUBSETS OF SIMPLY CONNECTED PLANAR SETS

BY

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## ABSTRACT

Let  $D \subset \mathbb{R}^2$  be simply connected. A subset  $K \subset D$  is **relatively convex** if  $a, b \in K$ ,  $[a, b] \subset D$  implies  $[a, b] \subset K$ . We establish the following version of Helly's Topological Theorem: If  $\mathcal{K}$  is a family of (at least 3) compact, polygonally connected and relatively convex subsets of  $D$ , then  $\bigcap \mathcal{K} \neq \emptyset$ , provided each three members of  $\mathcal{K}$  meet.

We also prove other results related to the combinatorial metric  $\rho_K(a, b)$  (= smallest number of edges of a polygonal path from  $a$  to  $b$  in  $K$ ).

## 1. Introduction

Let  $D$  be a subset of  $\mathbb{R}^2$ . For points  $a, b \in D$  we denote by  $\rho_D(a, b)$  the smallest number of edges of a polygonal path in  $D$  that connect  $a$  and  $b$ . ( $\rho_D(a, b) = \infty$  if there is no such path.) If  $D$  is polygonally connected, then  $\rho_D$  is an integer valued metric on  $D$ . This metric has particularly nice properties when  $D$  is simply connected. Such properties were first investigated by Bruckner and Bruckner in [BB]. It turns out that the notion of **relative convexity** is a particularly useful tool for dealing with these properties. (A subset  $K$  of  $D$  is **relatively convex** with respect to  $D$  if for every two points  $x, y \in K$ ,  $[x, y] \subset D$  implies  $[x, y] \subset K$ . Note that the intersection of any family of relatively convex subsets of  $D$  is again relatively convex.)

In this paper we establish the following results:

1. Let  $\mathbf{P} = \langle a = p_0, p_1, \dots, p_t = b \rangle$  ( $t = \rho_D(a, b)$ ) be a  $\rho_D$ -minimal path from  $a$  to  $b$  in  $D$ . Note that  $\mathbf{P}$  is necessarily simple (no self intersections). If

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$x$  is a variable point that moves along  $\mathbf{P}$  from  $a$  to  $b$ , then  $\rho_D(a, x)$  is a monotone non-decreasing function of  $x$  (Lemma 2.8).

2. If  $K_1, K_2$  are relatively convex and polygonally connected subsets of  $D$  with nonempty intersection, then  $K_1 \cap K_2$  is also polygonally connected and relatively convex in  $D$  (Lemma 3.2).

In fact, for points  $a, b \in K_1 \cap K_2$ , we determine the best possible upper bound for  $\rho_{K_1 \cap K_2}(a, b)$  in terms of  $\rho_{K_1}(a, b)$  and  $\rho_{K_2}(a, b)$ .

3. We give an elementary proof of Helly's Topological Theorem for compact, polygonally connected and relatively convex subsets of a simply connected set  $D \subset \mathbb{R}^2$ . The theorem (Theorem 3.1) states that if  $D$  is a simply connected set in  $\mathbb{R}^2$ , and  $\{K_i : i \in I\}$  (with  $|I| \geq 3$ ) is any family of subsets of  $D$  that are compact, polygonally connected and relatively convex in  $D$ , and such that each three have a point in common, then the intersection of the whole family is nonempty.

All these results will be used in a subsequent paper by the same authors [MP2] to establish a connection between the  $\rho$ -diameter and the  $\rho$ -radius of a compact simply connected planar set: *"If  $K \subset \mathbb{R}^2$  is compact and simply connected, and if  $\rho_K(a, b) \leq n$  for all  $a, b \in K$ , then there is a point  $z \in K$  such that  $\rho_K(a, z) \leq \lceil \frac{n+1}{2} \rceil$  for all  $a \in K$ . This bound is best possible for all  $n \geq 1$ ."*

## 2. Relatively convex subsets and the Monotonicity Lemma

**2.1 INTRODUCTORY RESULTS.** We denote by  $\text{aff}(a, b)$  the line joining  $a$  and  $b$ , by  $R(a \rightarrow b)$  the ray issuing from  $a$  in the direction of  $b$ , and by  $[a_1, \dots, a_m]$  the convex hull of the points  $a_1, \dots, a_m$ .

**Definition 2.1:** If  $K \subset D$ , the **star of  $K$  in  $D$** , denoted by  $K'$  or  $st(K, D)$ , is the set of all points  $y \in D$  that see a point of  $K$  via  $D$ . That is,  $K' = \{y \in D : (\exists x \in K)([x, y] \subset D)\}$ .

**Definition 2.2:** Let  $x$  be a point in  $D$ . The  **$n$ -th star of  $x$  in  $D$**  is the set  $st_n(x, D) = \{z \in D : \text{there exists a polygonal path of at most } n \text{ edges joining } x \text{ and } z \text{ via } D\} (= \{z \in D : \rho_D(x, z) \leq n\})$ .

Observe that if  $K = \{x\}$  then  $K' = st_1(x, D) = st(x, D)$  is the usual star, and if  $K = st_n(x, D)$ , then  $K' = st_{n+1}(x, D)$ .

**LEMMA 2.3:** Let  $\mathbf{Q} = \langle q_0, q_1, \dots, q_n = q_0 \rangle$  be a simple closed polygon in  $\mathbb{R}^2$  ( $n \geq 3$ ). Denote by  $Q$  the union of  $\mathbf{Q}$  and its interior. If  $t$  is an interior point of an edge  $[q_i, q_{i+1}]$  of  $\mathbf{Q}$ , then  $t$  sees via  $Q$  some vertex of  $\mathbf{Q}$  other than  $q_i, q_{i+1}$ .

*Proof:* Consider a triangulation of  $Q$  by noncrossing interior diagonals of  $Q$ . (For the existence of such triangulations see [Hi].) In this triangulation, the boundary edge  $[q_i, q_{i+1}]$  is an edge of a unique triangle  $[q_i, q_{i+1}, q_j]$ . Clearly,  $t$  sees  $q_j$  via  $Q$ . ■

**THEOREM 2.4:** *If  $D$  is a simply connected set in  $\mathbb{R}^2$ , and  $K \subset D$  is relatively convex and polygonally connected, then  $K'$  is also relatively convex and polygonally connected.*

*Proof:* The fact that  $K'$  is polygonally connected is obvious. We proceed to show that  $K'$  is relatively convex in  $D$ . That is, suppose  $a', b' \in K'$ ,  $a' \neq b'$ ,  $[a', b'] \subset D$ . We will show that  $[a', b'] \subset K'$ . We distinguish the following cases:

CASE 1:  $a'$  sees (via  $D$ ) a point  $a \in K$  on  $\text{aff}(a', b')$ . In this case,

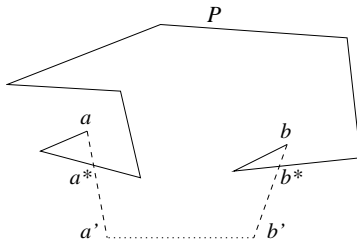
$$\text{conv}\{a, a', b'\} = [a, a'] \cup [a', b'] \subset D,$$

so every point on  $[a', b']$  sees  $a$  via  $D$ , hence  $[a', b'] \subset K'$ .

CASE 2:  $b'$  sees (via  $D$ ) a point  $b \in K$  on  $\text{aff}(a', b')$ . This is exactly the same as Case 1.

CASE 3:  $a'$  and  $b'$  see (via  $D$ ) a point  $c \in K$  (not on  $\text{aff}(a', b')$ ). In this case the boundary of the triangle  $[a', b', c]$  is in  $D$  and therefore  $[a', b', c] \subset D$ , since  $D$  is simply connected. Thus every point on  $[a', b']$  sees  $c$  via  $D$ , hence  $[a', b'] \subset K'$ .

CASE 4: Otherwise, assume  $a'$  sees (via  $D$ ) a point  $a \in K$ , and  $b'$  sees (via  $D$ ) a point  $b \in K$ ,  $a \neq b$ ,  $a, b \notin \text{aff}[a', b']$ . Let  $P \subset K$  be a simple polygon that connects  $a$  with  $b$ . Let  $a^*$  be the first point on  $[a', a]$  (going from  $a'$  to  $a$ ) that belongs to  $P$ , and let  $b^*$  be the first point on  $[b', b]$  (going from  $b'$  to  $b$ ) that belongs to  $P$  (see Figure).



Let  $P^*$  be the subpolygon of  $P$  with endpoints  $a^*, b^*$ . By our construction  $P^* \cap [a', a^*] = \{a^*\}$  and  $P^* \cap [b', b^*] = \{b^*\}$ . Moreover,  $a^* \neq b^*$ ,  $[a', a^*] \cap [a', b'] = \{a'\}$  and  $[b', b^*] \cap [a', b'] = \{b'\}$  (otherwise we are in one of the previous cases).

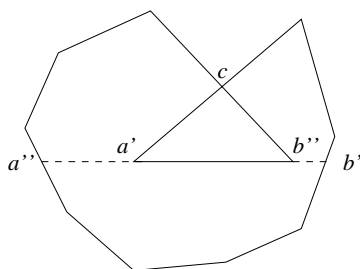
Also  $P^* \subset K$  and  $[a', b'] \cap K = \emptyset$  (see cases 1,2); hence  $[a', b'] \cap P^* = \emptyset$ . The segments  $[a', a^*]$  and  $[b', b^*]$  may be disjoint, or they may cross. (All other types of intersection of these two segments would lead us to one of the cases 1,2,3.)

CASE 4.1:  $[a', a^*] \cap [b', b^*] = \emptyset$ . In this case  $[a', a^*] \cup P^* \cup [b^*, b'] \cup [b', a']$  is a simple closed polygon in  $D$ , and its interior is in  $D$  as well,  $D$  being simply connected. By Lemma 2.3, every interior point of  $[a', b']$  sees a point of  $P^* (\subset K)$  via  $D$ , and thus  $[a', b'] \subset K'$ .

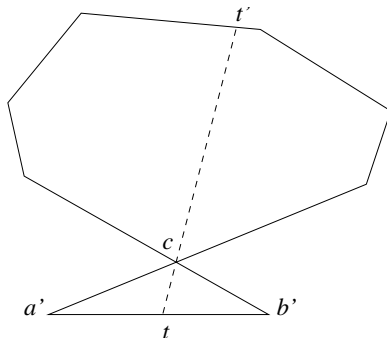
CASE 4.2:  $[a', a^*]$  and  $[b', b^*]$  cross at a point  $c$  interior to both intervals.

Define  $\mathbf{Q} = [c, a^*] \cup P^* \cup [b^*, c]$ .  $\mathbf{Q}$  is a simple closed polygon in  $D$ , and  $[a', b'] \cap \mathbf{Q} = \emptyset$ . If  $[a', b']$  lies in the interior of  $\mathbf{Q}$ , let us extend the interval  $[a', b']$  beyond  $a'$  until it meets  $\mathbf{Q}$  at a point  $a''$  and also beyond  $b'$  until it meets  $\mathbf{Q}$  at a point  $b''$ .

Clearly,  $a''$  and  $b''$  belong to  $P^* (\subset K)$  and  $[a'', b''] \subset \mathbf{Q} \cup \text{int } \mathbf{Q} (= \mathbf{Q}) \subset D$ . Since  $K$  is relatively convex in  $D$ ,  $[a', b'] \subset [a'', b''] \subset K \subset K'$  (see Figure).



There remains the case where  $[a', b']$  is exterior to  $\mathbf{Q}$ . Take a point  $t$  in the open interval  $(a', b')$  and draw the ray  $R(t \rightarrow c)$ . The initial segment  $[t, c]$  is exterior to  $\mathbf{Q}$ , but interior to  $[a', b', c] \subset D$ . At  $c$  the ray enters  $\text{int } \mathbf{Q} (\subset D)$  and stays there until it hits  $P^*$  at some point  $t'$ . Thus  $t$  sees  $t' (\in K)$  via  $D$ , hence  $t \in K'$  (see Figure).



■

Applying Theorem 2.4 to the singleton  $K = \{x\}$  ( $x \in D$ ) we obtain

**COROLLARY 2.5:** *Let  $D$  be a simply connected set in  $\mathbb{R}^2$ . If  $x \in D$  then  $st(x, D)$  is relatively convex in  $D$ .*

Continuing by induction on  $n$ , we obtain in the same way:

**COROLLARY 2.6:** *Let  $D$  be a simply connected set in  $\mathbb{R}^2$ , and  $x$  a point in  $D$ . Then  $st_n(x, D)$  is relatively convex in  $D$ .*

This corollary is an extension of Lemma 1 in [BB].

**2.2 THE MONOTONICITY LEMMA.** Let  $D \subset \mathbb{R}^2$  and  $a, b \in D$  be such that  $\rho_D(a, b) = n$ . Let  $L(a, b)$  be a polygonal path in  $D$  that connects  $a$  with  $b$  and has only  $n$  edges. As we have already mentioned,  $L(a, b)$  is simple. We order  $L(a, b)$  in a natural way from  $a$  to  $b$ . For  $s, t \in L(a, b)$ ,  $s \neq t$ , we write  $s < t$  to denote that  $s$  precedes  $t$  on  $L(a, b)$ .

Assume  $L(a, b) = \langle p_0, p_1, \dots, p_n \rangle$ , where  $p_0 = a$  and  $p_n = b$ .

The main result of this section, Lemma 2.8, which will be needed in [MP2], states that if  $D$  is simply connected, then the function  $\rho_D(a, s)$  ( $s \in L(a, b)$ ) is monotone nondecreasing.

**LEMMA 2.7:** *If  $s \in [p_i, p_{i+1}] \subset L(a, b)$ , then  $\rho_D(a, s) = i$  or  $i + 1$ .*

*Proof:* Immediate from the minimality of  $L(a, b)$  and the fact that  $\rho_D(a, p_j) = j$  ( $j = 0, 1, \dots, n$ ). ■

**LEMMA 2.8:** *Let  $D$  be a simply connected set in  $\mathbb{R}^2$ , and let  $a, b$  be two points in  $D$  such that  $\rho_D(a, b) = n$ . Then the function  $\rho_D(a, s)$  is a nondecreasing integer valued function on  $L(a, b)$ .*

*Proof:* Assume that  $s, t \in L(a, b)$  and  $s < t$ . We show that  $\rho_D(a, s) \leq \rho_D(a, t)$ . Suppose that  $s \in [p_i, p_{i+1}]$  and  $t \in [p_j, p_{j+1}]$ . Clearly  $i \leq j$ , since  $s < t$ . If  $i < j$  then  $\rho_D(a, s) \leq \rho_D(a, t)$  by Lemma 2.7. Assume, therefore, that  $i = j$ . If  $\rho_D(a, s) > \rho_D(a, t)$ , then, again by Lemma 2.7,  $\rho_D(a, t) = i$  ( $= \rho_D(a, p_i)$ ) and  $\rho_D(a, s) = i + 1$ . But then  $s \in [p_i, t]$ , with  $t, p_i \in st_i(x, D)$ . By Corollary 2.6,  $s \in st_i(x, D)$  as well, i.e.,  $\rho_D(a, s) \leq i$ , contradicting our assumption. ■

### 3. Helly's Topological Theorem for relatively convex subsets

**3.1 INTRODUCTION.** Helly's Topological Theorem in  $\mathbb{R}^2$  (see [H]) states that a family of compact, connected and simply connected sets in the plane has nonempty intersection, provided every three members intersect, and every two intersect in a connected set.

In this section we give an elementary proof of this statement for the case where all members of the family are relatively convex and polygonally connected subsets of a simply connected set  $D \subset \mathbb{R}^2$ . In view of Lemma 3.2 below, we can dispense with the requirement that the intersection of each two sets be connected.

**THEOREM 3.1:** *Let  $D$  be a simply connected set in  $\mathbb{R}^2$ , and let  $\{K_i : i \in I\}$  with  $|I| \geq 3$  be a family of subsets of  $D$  that are compact, polygonally connected and relatively convex in  $D$ , and such that each three have a point in common. Then the intersection of the whole family is nonempty.*

The proof of Theorem 3.1 is based on the following two lemmas, which hold for a simply connected set  $D$  in the plane.

**LEMMA 3.2:** *Let  $K_1, K_2 \subset D$  be two polygonally connected and relatively convex subsets of  $D$ . If  $K_1 \cap K_2 \neq \emptyset$  then  $K_1 \cap K_2$  is also polygonally connected and relatively convex in  $D$ .*

Note that Lemma 3.2 does not require the sets  $K_i$  to be closed.

**LEMMA 3.3:** *If  $K_1, K_2, K_3, K_4 \subset D$  are compact, polygonally connected and relatively convex in  $D$ , and the intersection of every three  $K_i$ 's is nonempty, then  $K_1 \cap K_2 \cap K_3 \cap K_4 \neq \emptyset$ .*

**3.2 PROOF OF LEMMA 3.2.** It is clear that  $K_1 \cap K_2$  is relatively convex in  $D$ . We only have to show that it is polygonally connected. Let  $a, c$  be two points in  $K_1 \cap K_2$ . We denote by  $k_1$  the combinatorial distance from  $a$  to  $c$  via  $K_1$ , that is  $k_1 = \rho_{K_1}(a, c)$ . Similarly we define  $k_2 = \rho_{K_2}(a, c)$  and  $k_{12} = \rho_{K_1 \cap K_2}(a, c)$ .

If  $a = c$  then  $k_1 = k_2 = k_{12} = 0$ , and there is nothing to prove.

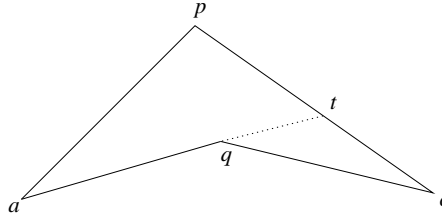
If  $a \neq c$  and  $[a, c] \subset D$  then  $k_1 = k_2 = k_{12} = 1$ .

If  $[a, c]$  is not in  $D$  then  $k_1 \geq 2$ ,  $k_2 \geq 2$  and  $k_{12} \geq 2$ . In this case, we shall prove two auxiliary lemmas, and Lemma 3.2 will follow as an immediate consequence.

LEMMA 3.4: If  $k_1 = k_2 = 2$  then  $k_{12} = 2$ .

*Proof:* Let  $\langle a, p, c \rangle$  be a two-edge path joining  $a$  and  $c$  via  $K_1$ , and let  $\langle a, q, c \rangle$  be a two-edge path joining  $a$  and  $c$  via  $K_2$ .

If these two paths meet only at the endpoints, then their union is a simple closed quadrilateral  $\mathbf{Q}$ . Since the segment  $[a, c]$  is not in  $D$ , it must be an exterior diagonal of  $\mathbf{Q}$ .

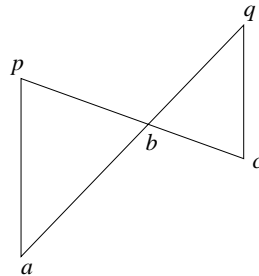
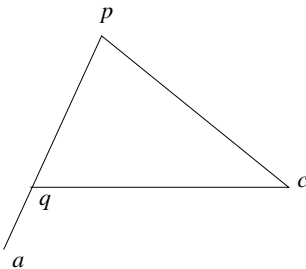


Assume, without loss of generality, that the interior angle of  $\mathbf{Q}$  at  $q$  is greater than  $\pi$ .

Extending the segment  $[a, q]$  beyond  $q$  inside  $\mathbf{Q}$  we reach a point  $t$  in  $[p, c] \subset K_1$ , as pictured in the figure above. Since  $D$  is simply connected, it includes the interior of  $\mathbf{Q}$ . In particular,  $[a, t] \subset D$ . Both  $a$  and  $t$  are in  $K_1$ , and  $K_1$  is relatively convex in  $D$ , hence  $[a, q] \subset K_1$ . In particular,  $q \in K_1$ . Therefore,  $\langle a, q, c \rangle \subset K_1 \cap K_2$ .

If  $\langle a, p, c \rangle$  and  $\langle a, q, c \rangle$  meet at one of the interior vertices  $p, q$ , say  $q \in \langle a, p, c \rangle$ , then  $\langle a, q, c \rangle \subset K_1 \cap K_2$ .

If  $q \notin \langle a, p, c \rangle$  and  $p \notin \langle a, q, c \rangle$ , and the two paths meet at a point  $b$  other than  $a, c$ , then  $\langle a, b, c \rangle \subset K_1 \cap K_2$ .



■

LEMMA 3.5: If  $\min(k_1, k_2) \geq 2$ , then  $k_{12} \leq k_1 + k_2 - 2$ .

*Proof:* By induction on  $k_1 + k_2$ .

If  $k_1 + k_2 = 4$  then  $k_1 = k_2 = 2$  and our claim follows from Lemma 3.4. Assume, therefore, that  $k_1 + k_2 \geq 5$

Let  $P_1 = \langle a = p_0, p_1, \dots, p_{k_1} = c \rangle \subset K_1$  and  $P_2 = \langle a = q_0, q_1, \dots, q_{k_2} = c \rangle \subset K_2$  be polygonal paths joining  $a$  and  $c$  in  $K_1$  and  $K_2$  respectively. From the minimality of  $k_1$  and  $k_2$  we infer that both these paths are simple. They may or may not share a point other than  $a, c$ .

Suppose there exists a point  $b \in P_1 \cap P_2$  different from  $a$  and  $c$ . If  $b \in (a, p_1) \cap (a, q_1)$ , then the segments  $[a, p_1]$  and  $[a, q_1]$  overlap, and we replace  $b$  by  $p_1$  or  $q_1$ , whichever comes first. We make the same stipulation at the other end of the paths.

Define:

$$\begin{aligned} k'_1 &= \rho_{K_1}(a, b), & k''_1 &= \rho_{K_1}(b, c), & k'_2 &= \rho_{K_2}(a, b), & k''_2 &= \rho_{K_2}(b, c) \\ k'_{12} &= \rho_{K_1 \cap K_2}(a, b), & k''_{12} &= \rho_{K_1 \cap K_2}(b, c). \end{aligned}$$

Clearly,  $k_i \leq k'_i + k''_i \leq k_i + 1$  for  $i = 1, 2$ .

We shall consider the following cases.

CASE I:  $\min(k'_1, k'_2) \geq 2$  and  $\min(k''_1, k''_2) \geq 2$ . Because of the restrictions that we have just imposed on the point  $b$ , at least one of the inequalities  $k'_1 \leq k_1$ ,  $k''_2 \leq k_2$  is strict, and therefore  $k'_1 + k''_2 < k_1 + k_2$ . Similarly for  $k'_2$ :  $k'_1 + k'_2 < k_1 + k_2$ . This enables us to apply the inductive hypothesis to the pairs  $a, b$  and  $b, c$ , to obtain;

$$\begin{aligned} k'_{12} &\leq k'_1 + k'_2 - 2 \\ k''_{12} &\leq k''_1 + k''_2 - 2 \end{aligned}$$

Since  $k_{12} \leq k'_{12} + k''_{12}$ , we conclude that  $k_{12} \leq k'_{12} + k''_{12} \leq k'_1 + k'_2 - 2 + k''_1 + k''_2 - 2 \leq k_1 + 1 + k_2 + 1 - 2 - 2 = k_1 + k_2 - 2$ , and our claim holds.

CASE II:  $\min(k'_1, k'_2) = 1$  and  $\min(k''_1, k''_2) \geq 2$ .

If  $\min(k'_1, k'_2) = 1$  then  $k'_1 = k'_2 = k'_{12} = 1$ . Applying the inductive hypothesis to the pair  $b, c$ , we obtain;

$$k''_{12} \leq k''_1 + k''_2 - 2 < k_1 + k_2 - 2;$$

hence  $k_{12} \leq k'_{12} + k''_{12} = 1 + k''_{12} < 1 + k_1 + k_2 - 2$ , i.e.,  $k_{12} \leq k_1 + k_2 - 2$ , as claimed. The same argument holds for the case where  $\min(k'_1, k'_2) \geq 2$  and  $\min(k''_1, k''_2) = 1$ .

CASE III:  $\min(k'_1, k'_2) = 1$  and  $\min(k''_1, k''_2) = 1$ .

In this case  $k'_1 = k'_2 = k'_{12} = k''_1 = k''_2 = k''_{12} = 1$ , hence  $k_{12} \leq k'_{12} + k''_{12} = 2$  ( $\leq k_1 + k_2 - 2$ ). ■

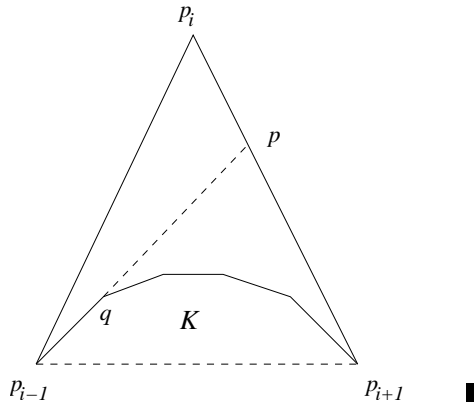


Now assume that  $P_1 \cap P_2 = \{a, c\}$ . In this case  $P = P_1 \cup P_2$  is a simple closed polygon. The sum of the interior angles of a simple closed  $n$ -gon in the plane is  $(n - 2) \cdot 180^\circ$ . It follows that at least three vertices of  $P$  have an interior angle smaller than  $180^\circ$ . Thus,  $P$  has an interior angle smaller than  $180^\circ$  at some vertex other than  $a, c$ .

Assume, without loss of generality, that this vertex is  $p_i \in P_1$ , for some  $0 < i < k_1$ . Consider the triangle  $\Delta = [p_{i-1}, p_i, p_{i+1}]$ . If  $P$  does not meet  $\text{int } \Delta$ , then  $\text{int } \Delta \subset \text{int } P$ , hence  $\Delta = \text{cl}(\text{int } \Delta) \subset \text{cl}(\text{int } P) = P \cup \text{int } P \subset D$ . In particular we find that  $p_{i-1}$  and  $p_{i+1}$  see each other via  $D$  (and hence via  $K_1$ ) which contradicts the minimality of  $P_1$ . Therefore,  $P$  does meet  $\text{int } \Delta$ .

If an edge  $e = [s, t]$  of  $P$  meets  $\text{int } \Delta$ , then either both endpoints  $s, t$  of  $e$  are in  $\text{int } \Delta$ , or one endpoint is in  $\text{int } \Delta$ , and  $e$  meets the “base”  $[p_{i-1}, p_{i+1}]$  of  $\Delta$ . It follows that  $P \cap \text{int } \Delta \subset \text{conv}(\{p_{i-1}, p_{i+1}\} \cup (\text{vert } P \cap \text{int } \Delta))$ . The polygon  $K = \text{conv}(\{p_{i-1}, p_{i+1}\} \cup (\text{vert } P \cap \text{int } \Delta))$  meets the boundary of  $\Delta$  in  $[p_{i-1}, p_{i+1}]$  only. Note that  $\text{int } \Delta - K \subset \text{int } P$ . Each vertex of  $K$ , except  $p_{i-1}$  and  $p_{i+1}$ , sees  $p_i$  via  $\text{int } P \subset D$ , and therefore cannot be a vertex of (the minimal path)  $P_1$ . It follows that any vertex of  $K$ , other than  $p_{i-1}$  and  $p_{i+1}$ , must be an interior vertex of the path  $P_2$ .

Denote by  $q$  the vertex of  $K$  adjacent to  $p_{i-1}$  in  $\text{int } \Delta$ , and extend the segment  $[p_{i-1}, q]$  beyond  $q$ , into  $\text{int } \Delta - K$ , until it hits the edge  $[p_i, p_{i+1}]$  ( $\subset K_1$ ) at a point  $p$ . The segment  $[p_{i-1}, p] = [p_{i-1}, q] \cup [q, p]$  is in  $D$ , hence in  $K_1$ . Replace the edges  $[p_{i-1}, p_i]$  and  $[p_i, p_{i+1}]$  of  $P_1$  by  $[p_{i-1}, p]$  and  $[p, p_{i+1}]$ . This leads to another minimal path  $P'_1$  from  $a$  to  $c$  in  $K_1$ .  $P'_1$  does meet  $P_2$  at the point  $q$ , which is interior to both paths. This situation has been treated before.

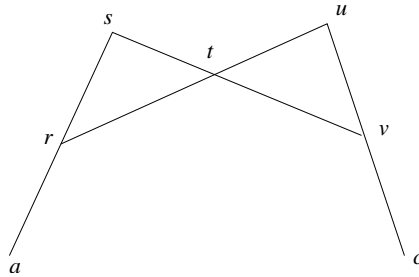


*Note:* The bound  $k_1 + k_2 - 2$  for  $k_{12}$  is tight.

To obtain an example with  $k_1 = 3 + \alpha \geq 3$  and  $k_2 = 3 + \beta \geq 3$ , consider a convex polygon  $\mathbf{Q}$  with vertices  $a, p_0, p_1, \dots, p_\beta, b, q_\alpha, \dots, q_1, q_0, c$  (in this order on the boundary of  $Q$ ), and with acute interior angles at the vertices  $a, c$ . Denote by  $P$  the polygonal path  $\langle a, p_0, p_1, \dots, p_\beta, b, q_\alpha, \dots, q_1, q_0, c \rangle$ . Extend the edge  $[a, p_0]$  beyond  $p_0$ , and the edge  $[b, q_\alpha]$  beyond  $b$  until they meet at a point  $s$ . Extend the edge  $[c, q_0]$  beyond  $q_0$ , and the edge  $[p_\beta, b]$  beyond  $b$  until they meet at a point  $t$ .

Let  $\Delta_1$  and  $\Delta_2$  be the (usually nonconvex) polygons bounded by  $\langle s, p_0, \dots, p_\beta, b, s \rangle$  and by  $\langle t, q_0, \dots, q_\alpha, b, t \rangle$ , respectively, and define  $K_1 = P \cup \Delta_1$  and  $K_2 = P \cup \Delta_2$ . Thus  $K_1 \cap K_2 = P$ ,  $\rho_{K_1}(a, c) = 3 + \alpha$ ,  $\rho_{K_2}(a, c) = 3 + \beta$  and  $\rho_P(a, c) = \alpha + \beta + 4 = (3 + \alpha) + (3 + \beta) - 2$ .

The following figure shows the construction for  $\alpha = 2$  and  $\beta = 3$ .



### 3.3 PROOF OF LEMMA 3.3.

**LEMMA 3.6:** *Let  $D$  be a simply connected subset of  $\mathbb{R}^2$ , and let  $K$  be a relatively convex subset of  $D$ . If  $P$  is a simple closed polygon in  $K$ , then  $\text{int } P \subset K$ .*

*Proof:* Since  $D$  is simply connected,  $\text{int } P \subset D$ . Let  $x$  be a point in  $\text{int } P$ , and let  $L \subset \mathbb{R}^2$  be a line through  $x$ .  $x$  divides  $L$  into two rays, say  $L_+$  and  $L_-$ , and both rays meet  $P$ . Let  $x_+(x_-)$  be the first point of  $L_+(L_-)$  in  $P$ . Then  $x_+, x_- \in K$ ,  $(x_+, x_-) \subset \text{int } P \subset D$ , hence  $[x_+, x_-] \subset K$ . In particular,  $x \in [x_+, x_-] \subset K$ . ■

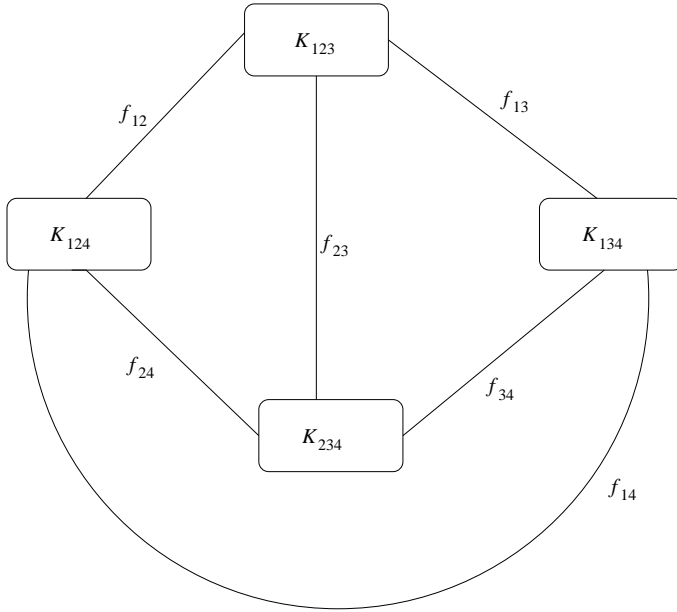
**Definition 3.7:** Let  $S, T$  be subsets of  $\mathbb{R}^2$ . An  $(S, T)$ -path is a simple polygonal path  $L = \langle s = l_0, l_1, \dots, l_k = t \rangle$  that starts at some point  $s \in S$ , ends at a point  $t \in T$ , and does not meet  $S \cup T$  in any other point.

**LEMMA 3.8:** *Suppose  $K \subset \mathbb{R}^2$  is polygonally connected, and  $S, T$  are two disjoint nonempty compact subsets of  $K$ . Then there is an  $(S, T)$ -path in  $K$ .*

*Proof:* Choose points  $s_0 \in S$  and  $t_0 \in T$ . There is a simple polygonal path  $L'$  that joins  $s_0$  with  $t_0$  in  $K$ . Let  $s$  be the last point of  $L'$  in  $S$ . Let  $t$  be the first

point of the part of  $L'$  from  $s$  to  $t_0$  that lies in  $T$ . Finally, let  $L$  be the part of  $L'$  from  $s$  to  $t$ . ■

*Proof of Lemma 3.3:*



Assume, on the contrary, that  $K_1 \cap K_2 \cap K_3 \cap K_4 = \emptyset$ . Let us denote the intersection  $K_i \cap K_j \cap K_k$  ( $i, j, k \in \{1, 2, 3, 4\}$ ) by  $K_{ijk}$ . By our assumptions,  $K_{123}$ ,  $K_{124}$ ,  $K_{134}$  and  $K_{234}$  are four pairwise disjoint nonempty compact subsets of  $D$ . By Lemma 3.2, they are also polygonally connected, and relatively convex in  $D$ . We shall construct now a simple polygonal embedding of the complete graph on four vertices into  $D$ , with the following specifications:

**VERTICES:**  $a_{ijk} \in K_{ijk}$  ( $\{i, j, k\} \subset \{1, 2, 3, 4\}$  and  $|\{i, j, k\}| = 3$ ). The order of the indices is not important. Thus  $a_{123} = a_{231} = a_{321}$ , etc.

**EDGES:**  $e_{ij} (= e_{ji})$  between  $a_{ijk}$  and  $a_{ijl}$  ( $\{i, j, k, l\} = \{1, 2, 3, 4\}$ ). The “edge”  $e_{ij}$  is a simple polygonal path in  $K_i \cap K_j$  with endpoints  $a_{ijk}$  and  $a_{ijl}$ . Distinct edges with a common endpoint share this endpoint only. Distinct edges without a common endpoint are disjoint.

**CONSTRUCTION:**

**STEP I:** For  $1 \leq i < j \leq 4$  let  $f_{ij} (= f_{ji})$  be a  $(K_{ijk}, K_{ijl})$ -path within  $K_i \cap K_j$  ( $\{i, j, k, l\} = \{1, 2, 3, 4\}$ ). The existence of  $f_{ij}$  is guaranteed by Lemma 3.8.

The six paths  $f_{ij}$  are pairwise disjoint, except possibly for common endpoints. Moreover, the interior points of  $f_{ij}$  do not belong to any of the four sets  $K_{123}$ ,  $K_{124}$ ,  $K_{134}$  and  $K_{234}$ . To prove this fact, take, for example, a point  $z$  interior to  $f_{12}$ . Then  $z \in K_1 \cap K_2$ , but  $z \notin K_3$  and  $z \notin K_4$ . Thus  $z$  cannot belong to any other path  $f_{ij}$ , nor to any of the sets  $K_{\alpha\beta\gamma}$ . (See the figure above).

STEP II: For each of the four sets  $K_{ijk}$  we extend the three paths  $f_{ij}$ ,  $f_{ik}$  and  $f_{jk}$  that emanate from  $K_{ijk}$ , back into  $K_{ijk}$ , until they meet in a common point  $a_{ijk}$ . To be specific, consider the set  $K_{123}$ . Denote by  $b_{ij}$  the endpoint of  $f_{ij}$  in  $K_{123}$  ( $ij = 12$  or  $23$  or  $13$ ). We will distinguish between the following three situations:

1.  $b_{12} = b_{23} = b_{13}$ . Call this common point  $a_{123}$  and do nothing else.
2. Two of the endpoints coincide and the third one is different. Assume, e.g.,  $b_{12} = b_{13} \neq b_{23}$ . Define  $a_{123} = b_{12} = b_{13}$ , connect  $a_{123}$  to  $b_{23}$  by a simple polygonal path in  $K_{123}$ , and attach this path to  $f_{23}$ .
3. The three endpoints  $b_{ij}$  are distinct. Connect  $b_{12}$  and  $b_{13}$  by a simple polygonal path  $g$  in  $K_{123}$ . If  $b_{23} \in g$ , define  $a_{123} = b_{23}$  and attach the part of  $g$  from  $b_{12}$  to  $a_{123}$  to  $f_{12}$ , and the other part (from  $b_{13}$  to  $a_{123}$ ) to  $f_{13}$ . If  $b_{23} \notin g$ , choose a  $(\{b_{23}\}, g)$ -path  $h$  in  $K_{123}$ . Denote by  $a_{123}$  the point where  $h$  hits  $g$ . Attach  $h$  to  $f_{23}$ , and the two parts of  $g$  determined by  $a_{123}$  to  $f_{12}$  and  $f_{13}$ , respectively.

The graph we have drawn defines a planar map with 4 vertices, 6 edges and 4 faces ( $v - e + f = 2$ , by Euler's formula). The boundary of each face is a circuit with at least three edges. Since the number of edge-face incidences is 12 ( $= 2e$ ), each face has exactly three edges (and three vertices). This applies, in particular, to the unbounded face. Assume, e.g., that the boundary of the unbounded face has vertices  $a_{123}$ ,  $a_{124}$  and  $a_{134}$  joined by the edges  $e_{12}$ ,  $e_{14}$  and  $e_{13}$ . The union of these three edges (actually paths) is a simple closed polygon  $T$  in  $K_1$ . The unbounded face of our map is just the exterior of  $T$ . The remaining vertex  $a_{234}$  is not there, hence  $a_{234} \in \text{int } T$  ( $\subset K_1$  by Lemma 3.6). Thus  $a_{234} \in K_1 \cap K_2 \cap K_3 \cap K_4$ , contrary to our assumption. ■

3.4 PROOF OF THEOREM 3.1. The sets  $K_i$  are compact, so in order to show that the intersection of the whole family is nonempty, it suffices to show that every finite subfamily has a nonempty intersection.

Let  $K_1, \dots, K_n$  ( $n \geq 3$ ) be any  $n$  elements of the family, such that each three share a point. If  $n = 3$  there is nothing to prove. If  $n = 4$ , they all meet by Lemma 3.3. If  $n \geq 5$ , we proceed by induction. Define sets  $K'_1, \dots, K'_{n-1}$  as

follows:  $K'_i = K_i$  for  $1 \leq i \leq n-2$  and  $K'_{n-1} = K_{n-1} \cap K_n$ . Clearly, all these sets are compact, polygonally connected (Lemma 3.2), relatively convex in  $D$ , and every three of them meet (Lemma 3.3). Thus, by the inductive hypothesis,

$$\bigcap_{i=1}^{n-1} K'_i \neq \emptyset.$$

But

$$\bigcap_{i=1}^{n-1} K'_i = \bigcap_{i=1}^n K_i,$$

and our claim holds. ■

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